



2/P2017A-1
I. McBeth
7/24/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

In re patent application of: Jim Lautner

Att. Docket No.: 2084.162

Serial No.: 09/785,331

Examiner:

Filed: February 20, 2001

Art Unit: 2171

For: CROSS-MVS SYSTEM SERIALIZED DEVICE CONTROL

INFORMATION DISCLOSURE STATEMENT

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MAY 16 2001
Group 2100

Honorable Assistant Commissioner of Patents

Washington, D.C. 20231

SIR:

Submitted herewith are several references which have come to the applicant's attention and which may be deemed relevant to the above-identified application, together with form PTO/SB/08A listing the references.

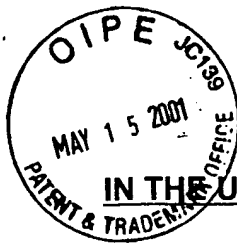
It is requested that these references be made of record in the present application.

Respectfully submitted,

Date: May 8 11/01

Sean W. Goodyin
Sean W. Goodyin
Registration No. 39, 568

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INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Assistant Commissioner of Patents
Washington, D.C. 20231
SIR:

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. 1.97 – 1.98.

Please note the following particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

- ☒ a. The enclosed information disclosure statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last. (37 CFR 1.97 (b))
- ☐ b. The enclosed information disclosure statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. 1.113, or a notice of allowance under 37 C.F.R. 1.311. (37 CFR 1.97 (c))

The enclosed information disclosure statement is accompanied by [check one]:

- ☐ i. A statement in part (e) below as specified in 37 C.F.R. 1.97(e), or
- ☐ ii. A check in the amount of \$240.00 required by 37 C.F.R. 1.17(p).

- ☐ c. The enclosed information disclosure statement is being filed after the mailing date of a final action under 37 C.F.R. 1.113, or a notice of allowance under 37 C.F.R. 1.311, but before payment of the issue fee. (37 CFR 1.97 (d))

PETITION: It is requested that the information being submitted be considered. {NOTE: If box (c) is checked, the following two boxes must be checked.}

- ☐ **PETITION FEE:** a check for \$130.00 required by 37 CFR 1.17(i)(I), is enclosed,
- ☐ **STATEMENT** is attended to in box (e) below.

- ☐ d. The enclosed information disclosure statement is being filed pursuant to 37 C.F.R. 1.97(i), for placement in the file.

☐ e. **Statement** [Check one] [Statement is required only if box (b)(1) or box (c) is checked.]

☐ I hereby state that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

or

☐ I hereby state that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.5(c) more than three months prior to the filing of this Information Disclosure Statement.

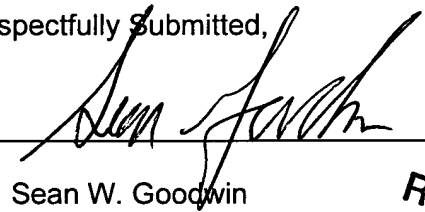
or

☐ Appropriate statement is attached.

Date:

May 11/01

Respectfully Submitted,



By: Sean W. Goodwin
Registration No. 39,568

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